XEP-0068: Field Standardization for Data Forms

Joe Hildebrand  
mailto:jhildebr@cisco.com  
xmpp:hildjj@jabber.org

Peter Saint-Andre  
mailto:xsf@stpeter.im  
xmpp:peter@jabber.org  
http://stpeter.im/

2020-05-05  
Version 1.3.0

<table>
<thead>
<tr>
<th>Status</th>
<th>Type</th>
<th>Short Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>Active</td>
<td>Informational</td>
<td>formtypes</td>
</tr>
</tbody>
</table>

This document specifies how to standardize field variables used in the context of jabber:x:data forms.
Legal

Copyright

This XMPP Extension Protocol is copyright © 1999 – 2020 by the XMPP Standards Foundation (XSF).

Permissions

Permission is hereby granted, free of charge, to any person obtaining a copy of this specification (the “Specification”), to make use of the Specification without restriction, including without limitation the rights to implement the Specification in a software program, deploy the Specification in a network service, and copy, modify, merge, publish, translate, distribute, sublicense, or sell copies of the Specification, and to permit persons to whom the Specification is furnished to do so, subject to the condition that the foregoing copyright notice and this permission notice shall be included in all copies or substantial portions of the Specification. Unless separate permission is granted, modified works that are redistributed shall not contain misleading information regarding the authors, title, number, or publisher of the Specification, and shall not claim endorsement of the modified works by the authors, any organization or project to which the authors belong, or the XMPP Standards Foundation.

Warranty

## NOTE WELL: This Specification is provided on an “AS IS” BASIS, WITHOUT WARRANTIES OR CONDITIONS OF ANY KIND, express or implied, including, without limitation, any warranties or conditions of TITLE, NON-INFRINGEMENT, MERCHANTABILITY, or FITNESS FOR A PARTICULAR PURPOSE. ##

Liability

In no event and under no legal theory, whether in tort (including negligence), contract, or otherwise, unless required by applicable law (such as deliberate and grossly negligent acts) or agreed to in writing, shall the XMPP Standards Foundation or any author of this Specification be liable for damages, including any direct, indirect, special, incidental, or consequential damages of any character arising from, out of, or in connection with the Specification or the implementation, deployment, or other use of the Specification (including but not limited to damages for loss of goodwill, work stoppage, computer failure or malfunction, or any and all other commercial damages or losses), even if the XMPP Standards Foundation or such author has been advised of the possibility of such damages.

Conformance

This XMPP Extension Protocol has been contributed in full conformance with the XSF’s Intellectual Property Rights Policy (a copy of which can be found at <https://xmpp.org/about/xsf/ipr-policy> or obtained by writing to XMPP Standards Foundation, P.O. Box 787, Parker, CO 80134 USA).
# Contents

1 Introduction 1

2 Requirements 1

3 Approach 1
   3.1 Overview ................................................. 1
   3.2 Whether to Register .................................. 2
   3.3 FORM_TYPE Names .................................. 2
   3.4 Field Names .......................................... 2
   3.5 Field Values ........................................ 3
   3.6 Uniqueness and Comparison ......................... 3

4 Use Cases 4
   4.1 Unspecified Form .................................. 4
   4.2 Correctly Specified FORM_TYPE .................. 4
   4.3 Incorrectly Specified FORM_TYPE ............... 5
   4.4 IQ Example .......................................... 6

5 Implementation Notes 8

6 Security Considerations 8

7 IANA Considerations 8

8 XMPP Registrar Considerations 9
   8.1 Registries .............................................. 9
      8.1.1 FORM_TYPEs Registry ......................... 9
1 Introduction

XMPP extensions that reuse Data Forms (XEP-0004) 1, such as Multi-User Chat (XEP-0045) 2 and Ad-Hoc Commands (XEP-0050) 3, typically need a way to gather data from both humans (using a GUI format) and computer processes (using a pre-defined but flexible format). The 'jabber:x:data' namespace provides an adequate mechanism for both of these uses, as long as computer processes can rely on the var=“” names on a particular type of form. This document defines a mechanism for the XMPP Registrar 4 to standardize the field names in such forms, thus enabling XMPP clients to process forms as they have to this point while giving protocol authors a way to specify a mechanism for non-GUI processors to determine the semantic meanings of forms and their constituent fields.

2 Requirements

1. Forms must continue to be presentable to humans for data entry.
2. XMPP clients that know how to process generic jabber:x:data messages must be supported; the basic format of jabber:x:data must not change.
3. If a form type is used in the context of a standards-track protocol, it should be standardized and registered; however, there is no requirement that all form types must be registered (e.g., form types used in custom applications).
4. Forms that are not directed to an entity must be able to traverse the entity (e.g., a form sent to a MUC room, intended for the participants of the room, and not the room itself).
5. Forms must continue to be flexible for implementations; unknown future expansion fields must not be limited.
6. The chosen approach must work for forms embedded in <message/> stanzas as well as in <iq/> stanzas.

3 Approach

3.1 Overview

Within XMPP, namespaces are used to scope data that conforms to a schema (often data that extends the core protocol in some fashion). In addition, namespaces can also provide context for the field variable names used in jabber:x:data forms and reports. This proposal makes

---

4The XMPP Registrar maintains a list of reserved protocol namespaces as well as registries of parameters used in the context of XMPP extension protocols approved by the XMPP Standards Foundation. For further information, see <https://xmpp.org/registrar/>.
that association explicit by defining a mechanism for linking a namespace name with a form along with the field names and types used in that form. Specifically, the namespace name is specified in the form as the value of a hidden variable called "FORM_TYPE".

3.2 Whether to Register

The first decision-point is whether a FORM_TYPE needs to be registered with the XMPP Registrar. The following rules apply:

1. If the FORM_TYPE is used in the context of a form defined in a XEP published by the XMPP Standards Foundation (XSF), it MUST be registered.
2. If the FORM_TYPE is used in the context of some other XMPP protocol but the form is not defined in a XEP, it MAY be registered.
3. If the FORM_TYPE is used in the context of a custom protocol, it MAY be registered.

3.3 FORM_TYPE Names

While the value of the FORM_TYPE attribute SHOULD be considered an opaque string from the application perspective, the following rules apply:

1. For custom protocols, the name SHOULD be an HTTP URI that is managed by the namespace owner (e.g., "http://example.com/foo").
2. For all new protocols approved by the XSF, the name MUST be a "urn:xmpp:*" URN in accordance with RFC 4854 and Section 4 of XMPP Registrar Function (XEP-0053).
3. For "legacy" protocols managed by the XSF, the name SHOULD use the old-style "jabber:*:*" or "http://jabber.org/protocol/*" format.

3.4 Field Names

For FORM_TYPEs that are registered with the XMPP Registrar, the following rules apply:

1. If the field is defined by the XSF (i.e., in a XEP), the field name SHALL be determined in accordance with the usual XSF consensus process and the field MUST be registered with the XMPP Registrar.

---

5 The XMPP Standards Foundation (XSF) is an independent, non-profit membership organization that develops open extensions to the IETF’s Extensible Messaging and Presence Protocol (XMPP). For further information, see <https://xmpp.org/about/xmpp-standards-foundation>.
2. If the field is defined outside the XSF, the field name SHALL follow the extension rules
described below and the field MAY be registered with the XMPP Registrar.

For FORM_TYPEs that are not registered with the XMPP Registrar, the field name SHALL
follow the extension rules described below and the field typically will not be registered with
the XMPP Registrar.

The "namespace" of a field is assumed to be inherited from the FORM_TYPE. When an
organization or project defines a field that is used in the context of a FORM_TYPE it does
not manage (e.g., a non-XSF field contained in a form whose FORM_TYPE is managed by the
XSF, or a third-party field contained in a form whose FORM_TYPE is managed by some other
organization), the name of the field MUST be namespaced using Clark Notation 8, where the
universal name portion SHOULD be a URI controlled by the extending organization or project,
e.g., a field name of "

```
pathhttp://example.com/pubsub\{time_restrictions\}
```

For reasons that are lost in the mists of time, some XMPP extension protocols produced by
the XSF, such as Multi-User Chat (XEP-0045) 9 and Publish-Subscribe (XEP-0060) 10, prefix
their field names with strings like "muc#" and "pubsub#". There is no good reason to apply
that convention to new XSF extensions. Indeed, there is even no good reason to apply that
convention to the names of new fields defined by the XSF for those existing XSF extensions;
however, the practice is harmless for those existing extensions (since a string such as "

```
pathhttp://jabber.org/protocol/pubsub\{subscribe_authorization\}pubsub\{subscriber_jid\}
```

can be considered equivalent to a string such as "pubsub\{subscriber_jid\}"), and this document
does not actively recommend deprecating the convention.

Note: Older versions of this specification mandated that unregistered field names had to
begin with the prefix "x-". In accordance with RFC 6648 11, that mandate has been removed.
However, existing "x-" field names are acceptable and can be registered with the XMPP
Registrar as described above.

3.5 Field Values

Field values MAY also be registered; refer to the XMPP Registrar section of this document.

3.6 Uniqueness and Comparison

FORM_TYPE names, field names, and field values MUST be compared as strings. The use of
URIs in FORM_TYPE names and field names is simply a recommended method for insuring
uniqueness, and other such methods are acceptable (e.g., Java-like reverse domain names

8Clark Notation, a syntax to allow universal names written as a URI in curly brackets followed by the local name;
11RFC 6648: Deprecating the X- Prefix and Similar Constructs in Application Protocols <http://tools.ietf.org
/html/rfc6648>.

3
such as "com.example.foo").

4 Use Cases

4.1 Unspecified Form

These are forms with type="form" or type="result" that do not have a hidden field of name FORM_TYPE or forms with type="submit" that do not have any field of name FORM_TYPE. Existing processing rules still apply.

Listing 1: Message with no FORM_TYPE

```xml
<message
  from='juliet@capulet.com/balcony'
  to='romeo@montague.net/garden'>
  <thread>vote-thread-reatmon-134</thread>
  <x xmlns='jabber:x:data' type='form'>
    <title>Vote #134</title>
    <instructions>
      This is the vote to pick a new mascot.
      Thanks for your time!
    </instructions>
    <field var='mascot' type='list-single'>
      <required/>
      <option label='Light Bulb'><value>light_bulb</value></option>
      <option label='Penguin'><value>penguin</value></option>
      <option label='Moose'><value>moose</value></option>
      <option label='Triangle Man'><value>triangle_man</value></option>
      <option label='Other'><value>other</value></option>
    </field>
  </x>
</message>
```

4.2 Correctly Specified FORM_TYPE

In the following example, the FORM_TYPE is 'http://jabber.org/protocol/pubsub', all of the fields whose names start with "pubsub#" are registered with the XMPP Registrar (see Publish-Subscribe (XEP-0060)\(^\text{12}\)), and the custom "time_restrictions" field defined by the organization at example.com uses Clark Notation in the field name.

Listing 2: Message with FORM_TYPE

```xml

4 USE CASES

4.3 Incorrectly Specified FORM_TYPE

If the FORM_TYPE field is not hidden in a form with type="form" or type="result", it MUST be ignored as a context indicator.

Listing 3: Message with bad FORM_TYPE

<message to="juliet@capulet.com" from="romeo@montague.net/garden">
  <x xmlns="jabber:x:data" type="form">
    <title>Balcony Scene (Act 2, Scene 2)</title>
    <instructions>But soft! What light through yonder window breaks ?</instructions>
    <field var="FORM_TYPE" type="text-single">
      <value>http://jabber.org/protocol/shakespeare</value>
    </field>
    <field var="light" type="list-multi">
      <option label="Juliet">Sun</option>
      <option label="Maid">Moon</option>
      <option label="Eyes">Stars</option>
    </field>
  </x>
</message>
4.4 IQ Example

The following example shows a user’s interaction with a Multi-User Chat room in order to register with the room. Note that as per Data Forms (XEP-0004) the form field “type” attribute may be omitted in data forms of type "submit".

Listing 4: User Requests Registration Requirements

```
<iq from='hag66@shakespeare.lit/pda'
to='darkcave@macbeth.shakespeare.lit'
type='get'
id='reg1'>
<query xmlns='jabber:iq:register'/>
</iq>
```

Listing 5: Service Returns Registration Form

```
<iq type='result'
from='darkcave@macbeth.shakespeare.lit'
to='hag66@shakespeare.lit/pda'
id='reg1'>
<query xmlns='jabber:iq:register'>
<instructions>
To register on the web, visit http://shakespeare.lit/
</instructions>
<x xmlns='jabber:x:data' type='form'>
<title>Dark Cave Registration</title>
<instructions>
Please provide the following information to register with this room.
</instructions>
<field>
    type='hidden'
    var='FORM_TYPE'
    <value>http://jabber.org/protocol/muc#user</value>
</field>
<field>
    type='text-single'
    label='First_Name'
    var='muc#user_first'
    <required/>
</field>
```

Listing 6: User Submits Registration Form

```xml
<iq type='set' from='hag66@shakespeare.lit/pda' to='darkcave@macbeth.shakespeare.lit' id='reg2'>
  <query xmlns='jabber:iq:register'>
    <x xmlns='jabber:x:data' type='submit'>
      <field var='FORM_TYPE'>
        <value>http://jabber.org/protocol/muc#user</value>
      </field>
      <field var='muc#user_first'>
        <value>Brunhilde</value>
      </field>
      <field var='muc#user_last'>
        <value>Entwhistle-Throckmorton</value>
      </field>
      <field var='muc#user_roomnick'>
        <value>thirdwitch</value>
      </field>
    </x>
  </query>
</iq>
```
5 Implementation Notes

If the FORM_TYPE field is not type="hidden" in a data form with type "form" or type "result", it does not have the special meaning defined herein. Data forms with the type "submit" are free to omit any explicit field type declaration (as per Data Forms (XEP-0004) § 3.2), as the type is implied by the corresponding "form"-type data form. As consequence, implementations MUST treat a FORM_TYPE field without an explicit type attribute, in data forms of type "submit", as the FORM_TYPE field with the special meaning defined herein.

If the form is used in an IQ, the namespace of the <query/> element SHOULD match the base namespace of the FORM_TYPE. (One possible way of solving this problem would have been to reuse the <query/> tag from the IQ form of jabber:x:data within messages, but that would have meant that existing clients would not have been able to participate in these exchanges.)

6 Security Considerations

Security-conscious programs that are using this approach should be careful to process only agreed-upon fields with agreed-upon types.

7 IANA Considerations

This document requires no interaction with the Internet Assigned Numbers Authority (IANA).

---

14 XEP-0004: Data Forms [https://xmpp.org/extensions/xep-0004.html].
15 The Internet Assigned Numbers Authority (IANA) is the central coordinator for the assignment of unique parameter values for Internet protocols, such as port numbers and URI schemes. For further information, see [http://www.iana.org/].
8 XMPP Registrar Considerations

8.1 Registries

8.1.1 FORM_TYPEs Registry

The XMPP Registrar shall maintain a registry of information about submitted FORM_TYPEs. In order to submit new values to this registry, the registrant shall define an XML fragment of the following form and either include it in the relevant XMPP Extension Protocol or send it to the email address registrar@xmpp.org:

```
<form_type>
  <name>FORM_TYPE namespace or namespace derivative</name>
  <doc>associated specification</doc>
  <desc>natural-language description of form type</desc>
  <field>
    var='the_field_name'
    type='the_field_type'
    label='natural-language_description_of_field'/>
</form_type>
```

The registrant MAY register more than one FORM_TYPE at a time, each contained in a separate <form_type/> element. The registrant MAY also register more than one field at a time, each contained in a separate <field/> child element. Registrations of new fields within an existing FORM_TYPE MUST include the full XML snippet but SHOULD NOT include the FORM_TYPE description (only the name and the XEP number or other document identifier).

Note that for ease of use the format for the <field/> element in the registry submission is the same as that defined in XEP-0004; in addition, the value of the 'type' attribute MUST be one of those defined in XEP-0004.

In addition, a registrant MAY also register particular field option values for fields of type 'list-single' and 'list-multi'. The format for such submissions is as follows:

```
<form_type>
  <name>FORM_TYPE namespace or namespace derivative</name>
  <doc>associated XEP or other document</doc>
  <desc>natural-language description of form type</desc>
  <field>
    var='the_field_name'
    type='the_field_type'
    label='natural-language_description_of_field'/>
    <option label='natural-language_description_of_option'>
      <value>the_value</value>
    </option>
  </field>
</form_type>
```