Legal

Copyright

This XMPP Extension Protocol is copyright © 1999 – 2020 by the XMPP Standards Foundation (XSF).

Permissions

Permission is hereby granted, free of charge, to any person obtaining a copy of this specification (the "Specification"), to make use of the Specification without restriction, including without limitation the rights to implement the Specification in a software program, deploy the Specification in a network service, and copy, modify, merge, publish, translate, distribute, sublicense, or sell copies of the Specification, and to permit persons to whom the Specification is furnished to do so, subject to the condition that the foregoing copyright notice and this permission notice shall be included in all copies or substantial portions of the Specification. Unless separate permission is granted, modified works that are redistributed shall not contain misleading information regarding the authors, title, number, or publisher of the Specification, and shall not claim endorsement of the modified works by the authors, any organization or project to which the authors belong, or the XMPP Standards Foundation.

Warranty

## NOTE WELL: This Specification is provided on an "AS IS" BASIS, WITHOUT WARRANTIES OR CONDITIONS OF ANY KIND, express or implied, including, without limitation, any warranties or conditions of TITLE, NON-INFRINGEMENT, MERCHANTABILITY, or FITNESS FOR A PARTICULAR PURPOSE. ##

Liability

In no event and under no legal theory, whether in tort (including negligence), contract, or otherwise, unless required by applicable law (such as deliberate and grossly negligent acts) or agreed to in writing, shall the XMPP Standards Foundation or any author of this Specification be liable for damages, including any direct, indirect, special, incidental, or consequential damages of any character arising from, out of, or in connection with the Specification or the implementation, deployment, or other use of the Specification (including but not limited to damages for loss of goodwill, work stoppage, computer failure or malfunction, or any and all other commercial damages or losses), even if the XMPP Standards Foundation or such author has been advised of the possibility of such damages.

Conformance

This XMPP Extension Protocol has been contributed in full conformance with the XSF’s Intellectual Property Rights Policy (a copy of which can be found at <https://xmpp.org/about/xsf/ipr-policy> or obtained by writing to XMPP Standards Foundation, P.O. Box 787, Parker, CO 80134 USA).
1 Introduction

This document is one of two proposals for digital signatures in XMPP. It is expected that only one of these proposals be progressed beyond Experimental on the Standards Track.

This document provides a technical specification for Digital Signatures in Extensible Messaging and Presence Protocol (XMPP) based upon End-to-End Object Encryption (E2EEncrypt) “work in progress”.

The S/MIME approach defined in RFC 3923 has never been implemented in XMPP clients to the best of our knowledge, but has some attractive features, especially the ability to store-and-forward a signed message at a user’s server if the user is not online when the message is received (in the XMPP community this is called “offline storage” and the message is referred to as an “offline message”). The authors surmise that RFC 3923 has not been implemented mainly because it adds several new dependencies to XMPP clients, especially MIME (along with the CPIM and MSGFMT media types).

This document explores the possibility of an approach that is similar to but simpler than RFC 3923. Like the approach detailed in RFC 3923, the approach utilizes encapsulating digital signatures.

Like other encapsulating signature approaches (e.g., Current Jabber OpenPGP Usage (XEP-0027)), this approach does not support optimistic signing.

2 Signing XMPP Stanzas

The process that a sending agent follows for securing stanzas is very similar regardless of the form of stanza (i.e., <iq/>, <message/>, or <presence/>).

1. Constructs a cleartext version of the stanza, S.

2. Notes the current UTC date and time N when this stanza is constructed, formatted as described in Section 5.

3. Converts the stanza to a UTF-8, as defined by RFC 3629, encoded string, optionally removing line breaks and other insignificant whitespace between elements and attributes, i.e., \( \text{UTF8-encode}(S) = S' \). We call \( S' \) a “stanza-string” because for purposes of signing and verification it is treated not as XML but as an opaque string (this avoids the need for complex canonicalization of the XML input).

---

4. Constructs a plaintext envelope (E) \(<plain/>\) qualified by the "urn:xmpp:signed:0" namespace as follows:
   - The attribute 'timestamp' set to the UTC date and time value \(N\)
   - The XML character data set to the base64-encoded form of \(S'\) (where the encoding adheres to the definition in Section 4 of BASE64 \(^6\) and where the padding bits are set to zero). This encoding is necessary to preserve a canonicalized form of \(S'\).

5. Converts the envelope (E) to a UTF-8 encoded string, optionally removing line breaks and other insignificant whitespace between elements and attributes, i.e., \(E' = \text{UTF8-encode}(E)\).

6. Produce a signature of UTF8-encoded envelope (E') using the intended signature algorithm. \(T = \text{signature}(E')\). (This step is underspecified and will be expanded upon in later revision of this document.)

7. Base64-encodes \(T\) to produce the signature data \(T'\).

8. Constructs an \(<\text{signed}/>\) element qualified by the "urn:xmpp:signed:0" namespace as follows:
   - The child element \(<\text{signature}\>\) (implicitly qualified by the "urn:xmpp:signed:0" namespace) as follows:
     - The attribute 'algorithm' set to a string identifying the signature algorithm used.
     - The XML character data \(T'\).
   - The child element \(<\text{data}\>\) (implicitly qualified by the "urn:xmpp:signed:0" namespace) as follows:
     - The XML character data \(E'\).

9. Sends the \(<\text{signed}/>\) element as the payload of a stanza that SHOULD match the stanza from step 1 in kind (e.g., \(<\text{message}/>\)), type (e.g., "chat"), and addressing (e.g. to="romeo@montague.net" from="juliet@capulet.net/balcony"). If the original stanza (S) has a value for the "id" attribute, this stanza MUST NOT use the same value for its "id" attribute.

2.1 Example of Signing Messages

The sender begins with the cleartext version of the \(<\text{message}/>\) stanza "S":

```
<message xmlns='jabber:client'
  from='juliet@capulet.net/balcony'
  id='183ef129'
  to='romeo@montague.net'
>`

The sender then performs the steps 1 through 4 from above to generate:

```
<plain xmlns="urn:xmpp:signed:0"
    timestamp="2010-06-29T02:15:21.012Z">
    PG1ic3NhZ2UgeGisbnM9ImphYmJ1cjpbGlibnQ1IGZyb209Im1bGIl1dEBjYXB1bGV0Lm5ldC9iYWxjzb55Ib0bzoicm9tZW9AbW9udGVndWUubmV0Ii80eXB1PSJjaGF0ij48dGhyZWFkPmM2HmczODI0LWEzMDc5NDBkZC04ZmUwLWJhZDZlNzI5O
    WFKMDwvGhystWFkPjxb2R5P1doZXJlZm9yZSBhcngvdSwgUm9tZW8/PC9i
    b2R5PjwvWVzc2FmZT4=
</plain>
```

And then performs steps 5 through 9 steps, causing the following to be sent:

```
<message xmlns='jabber:client'
    from='juliet@capulet.net/balcony'
    id='6410ed123'
    to='romeo@montague.net'
    type='chat'>
    <signed xmlns='urn:xmpp:signed:0'>
        <signature algorithm="RSA-SHA1">
            DxbxIziY1CYtcxkJo1FLsfsfmdLMv96JM1MAQZ7jh49Ibs0IPsxI2LyLmqhKH
            /994UXDJKQLHLJz
            gAmw8V2b+zmyZeltJzSmbB+
            HHiLVXKD2Dd4JfetsafsFlcB7uNWg0gAeiKrTHFgicyEC/2WxwOj3
            JUMyQ9ykJEPiZ50GZ/k=
        </signature>
        <data>
            PHBsYWluIHHtbBGsPSJ1cm46eG1wcDpzaWduZWQ6MCICgdGlTZXN0YW1wPSIyMGkwLTA2LTI5VDAY
            OjoE10jLxjAxMloIPgogIFBHMXWjM05oWjJVV2VHMXNibk05SW1waFltSmxjM8BqYksdsgjuUWLJ
            R1p5YjIwOUlctDFIr2xsZEVCA1lYQgogIDFIr1ywTG01bGRDO0lZV3hoqYji1NQ1pQjbieBpYx05
            dFpXOUFivZi1ZEdWbmRVXVibYYsWLMcGVYQmxQUwogIEqpYUdGME1qNDhkr2h5WIdGa1BtTTJN
            emN6T0RJMEeXRopNRGN0TrkRCa1pDMRDabVV3TFdKaFpEwmx0ekk1TwogIEdG61Ed32kR2h5WIdG
            a1Bq4Gl1Mi1UGxkb1pYSmxabT15W1NCaGNUWdkR2h2ZFN3Z1VtOXRaVzgvUEM5aQogIGTyUyVQ
            and2YldWemMyRm5aVDQ9CjwvCgxhaW4+Cg==
        </data>
    </signed>
</message>
```
2.2 Example of Securing IQs
To be added....

3 Interaction with Stanza Semantics
The following limitations and caveats apply:

- Undirected <presence/> stanzas SHOULD NOT be signed.
- Stanzas directed to multiplexing services (e.g. multi-user chat) SHOULD NOT be signed, unless the sender has established the service supports the handling of signed stanzas.

4 Handling of Inbound Stanzas
Several scenarios are possible when an entity receives an encrypted stanza:

Case #1: The receiving application does not understand the protocol.

Case #2: The receiving application understands the protocol and is able to verify the signature.

Case #3: The receiving application understands the protocol and is able to verify the signature, but the timestamps fail the checks specified under Checking of Timestamps.

Case #4: The receiving application understands the protocol and is unable to verify the signature.

In Case #1, the receiving application MUST do one and only one of the following: (1) ignore the <signed/> extension, (2) ignore the entire stanza, or (3), except where precluded by the protocol (RFC 6120[^7]), return a <service-unavailable/> error to the sender.

In Case #2, the receiving application MUST NOT return a stanza error to the sender, since this is the success case.

In Case #3, the receiving application MAY, except where precluded by the protocol, return a <not-acceptable/> error to the sender, optionally supplemented by an application-specific error condition element of <bad-timestamp/> as shown below:

```
<message
  from='romeo@example.net/orchard'
  id='6410ed123'
  to='juliet@capulet.net/balcony'
  type='error'>
```

5 Inclusion and Checking of Timestamps

Timestamps are included to help prevent replay attacks. All timestamps MUST conform to \textit{DATETIME} \footnote{RFC 3339: Date and Time on the Internet Timestamps <http://tools.ietf.org/html/rfc3339>}. Absent a local adjustment to the sending agent’s perceived time or the underlying clock time, the sending agent MUST ensure that the timestamps it sends to the receiver increase monotonically (if necessary by incrementing the seconds fraction in the timestamp if the clock returns the same time for multiple requests). The following rules apply to the receiving application:

- It MUST verify that the timestamp received is within five minutes of the current time, except as described below for offline messages.

In Case \#4, the receiving application SHOULD, except as precluded by the protocol, return a \texttt{<bad-request/>} error to the sender, optionally supplemented by an application-specific error condition element of \texttt{<bad-signature/>} as shown below:

```
<message from='romeo@example.net/orchard' id='6410ed123' to='juliet@capulet.net/balcony' type='error'>
  <signed xmlns='urn:xmpp:signed:0'>
    <!{-{}- original content -{}-}> 
  </signed>
  <error type='modify'>
    <not-acceptable xmlns='urn:ietf:params:xml:ns:xmpp-stanzas'/>
    <bad-timestamp xmlns='urn:xmpp:signed:0'/>
  </error>
</message>
```

Additionally in Case \#4, the receiving application SHOULD NOT present the stanza to the intended recipient (human or application) and SHOULD provide some explicit alternate processing of the stanza (which may be to display a message informing the recipient that it has received a stanza that cannot be verified).
• If the foregoing check fails, the timestamp SHOULD be presented to the receiving entity (human or application) marked with descriptive text indicating “old timestamp” or “future timestamp” and the receiving entity MAY return a stanza error to the sender (except as precluded in the protocol).

The foregoing timestamp checks assume that the recipient is online when the message is received. However, if the recipient is offline then the server will probably store the message for delivery when the recipient is next online (offline storage does not apply to <iq/> or <presence/> stanzas, only <message/> stanzas). As described in Best Practices for Handling Offline Messages (XEP-0160) ⁹, when sending an offline message to the recipient, the server SHOULD include delayed delivery data as specified in Delayed Delivery (XEP-0203) ¹⁰ so that the recipient knows that this is an offline message and also knows the original time of receipt at the server. In this case, the recipient SHOULD verify that the timestamp received in the encrypted message is within five minutes of the time stamped by the recipient’s server in the <delay/> element.

6 Mandatory-to-Implement Cryptographic Algorithms

All implementations MUST support the following algorithms. Implementations MAY support other algorithms as well.

• TBD (RSA/SHA1? RSASSA-RKCS1-v1.5? RSASSA-PSS?)

7 Certificates

To participate in end-to-end signing using the methods defined in this document, a client needs to possess an X.509 certificate. It is expected that many clients will generate their own (self-signed) certificates rather than obtain a certificate issued by a certification authority (CA). In any case the certificate MUST include an XMPP address that is represented using the ASN.1 Object Identifier “id-on-xmppAddr” as specified in Section 5.1.1 of RFC 3920bis.

8 Security Considerations

TBD.

---

9 XMPP Registrar Considerations

9.1 XML Namespace Name for Signed Data in XMPP

A URN sub_namespace of signed content for the Extensible Messaging and Presence Protocol (XMPP) is defined as follows.

URI: urn:xmpp:signed

Specification: ProtoXEP

Description: This is an XML namespace name of signed content for the Extensible Messaging and Presence Protocol as defined by ProtoXEP.

Registrant Contact: XSF

10 Acknowledgements

This document borrows ideas and text from End-to-End Object Encryption “work in progress” by Matthew Miller and Peter Saint-Andre.