This specification provides extensions to the data forms model defined in previous XEPs that permit enhanced end-user interaction and a better user experience. These extensions permit forms to react on user input by permitting the addition, updating or removal of fields in the form and server-side validation of fields. The extension also defines new states making it possible to display disabled controls, controls with undefined values or error messages, while still being backwards compatible with the existing data form model with available extensions.
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1 Introduction

Data forms are used in many XEPs and provide a mechanism whereby a form can be hosted on one end and displayed on another. XMPP data forms are defined and enhanced in many different XEPs, as is shown in the following list:

- **Data Forms (XEP-0004)** defines the basics of data forms: How forms are defined, sent to the recipient, how the recipient submits forms (or cancels them) and how results can be returned. It defines the concepts of field, field type and field value.

- **Data Forms Validation (XEP-0122)** enhances the data forms architecture permitting rules for client-side validation of fields in the form.

- **Publishing Stream Initiation Requests (XEP-0137)** defines a new data type that can be used to publish file upload controls.

- **Data Forms Layout (XEP-0141)** enhances the data forms architecture permitting the form to have pages or tabs with sections containing grouped controls.

- **Data Forms Media Element (XEP-0221)** defines a means to include content such as images, video or audio in forms.

- **Data Forms - Color Field Types (XEP-0331)** permits the publication of color fields, where the end user can be presented with a color picker dialog instead of having to enter a color value manually or select one from a limited list of colors.

This specification enhances the data form model further by providing the following features:

- Form post-back when fields flagged for post-back are edited. This permits the form to adapt itself based on user input, i.e. creating, changing or removing fields in the form, creating dynamic forms. It also provides a mechanism to publish server-side validation of fields during user input.

---

1 XEP-0004: Data Forms [https://xmpp.org/extensions/xep-0004.html].
• Allows fields to be disabled. They will be shown as normal controls, but in a disabled state. Combining post-back form fields it is possible to enable and disable (or add or remove) fields depending on user input.

• Allows fields to have not well-defined values. This can be used for instance, when a value is unknown or when multiple objects are edited at the same time in the same form and the objects have different values for the corresponding field.

• Permits fields to have errors flagged on them. This can be used to give users intuitive feedback on errors in forms. Together with post-back fields, this provides a mechanism whereby server-side validation of fields can be made while the user is still editing the form.

• Permits spontaneous updates of the form, pushed from the form server to the client showing the form. This might be necessary in cases where the process of acquiring current values may take some time, but the client is required to show a form right away.

**Note:** This extension is only dependent upon the Data Forms XEP. It works in parallel with any of the above mentioned data form extensions, but do not require that any of them are supported. The examples provided in this document may still reference extensions made in other documents, but these are considered to be examples only, used to illustrate a specific point or example.

### 1.1 Comparison to Ad-hoc commands

Ad-Hoc Commands (XEP-0050)\(^7\) defines a mechanism to enhance data forms creating dialog wizards with actions that guide the user through pages, where each page can depend on the input from previous pages. The following list consists of a comparison of the differences between Ad-hoc commands and Dynamic Forms, and why this extension is not based on the concepts defined in XEP-0050:

• The main point of this extension is to make the current form dynamic. Pages in a wizard, as defined in ad-hoc commands, are still static requiring page navigation for the dialog to be updated.

• There might be a desire to make individual pages inside a wizard dynamic by themselves. In this case, this extension might be used to extend Ad-hoc commands with

---

dynamic pages inside wizards.

- Wizards order their pages linearly, in a one-dimensional array of pages. Navigation is only performed using prev and next actions, and completed with the execute or complete actions. This might work sufficiently well where page output only is based on input from the previous page. But as soon as the input depends on more pages, navigation becomes unnecessarily cumbersome. For example a dialog with country, region, city, area, street, building, apartment. To change the country parameter when you are on the apartment page, requires you to back through many pages, while in the dynamic form you just change the parameter directly, since all are visible in the same form.

The problem gets worse if this is a normal behavior for a form. Say a dialog with a post carrier field, followed by country, city, office, etc. When the user comes to office and notices that the selected carrier has no office close to you, you change carrier, but don’t want to change country and city, if possible.

- The pages in a wizard do not allow for spontaneous or immediate feedback to the user. Such immediate feedback is of great importance in user-friendly forms, where complex input is required. Consider the following simple example: Imagine a dialog used for configuring a TCP port scanner to search for specific devices in a network. It might take five parameters: IP range, port range, number of threads, connection timeout (ms) and number of tries before failing. However, the operator wants to know how much time the operation will take (which is #IP-addresses * #port numbers * Connection Timeout * Nr Tries / #Threads). To see this in a separate page and then have to go back to the different pages, forwarding to the time estimate calculation page, etc., is a very cumbersome process. Presenting all this information on a single page using Dynamic Forms is creates a much better and richer user experience. Changing the value of any of the five parameters will directly update a text parameter presenting the total expected time of the operation.

- Wizards as defined in Ad-hoc commands are hard-wired to commands as such. Navigation actions are defined outside of the scope of the form itself. This extensions defines Dynamic Forms as an extension of the Data Form concept. All navigation, post-back fields, etc., are defined within the actual form itself. This makes it re-usable everywhere data forms are used, including pages inside an Ad-Hoc command wizard.

- Ad-hoc command wizards include the feature to return notes including information, warnings or error information to the user. This information however, is associated with the current page in the wizard itself. Dynamic Forms contains a means to attach error information directly to individual fields, making feedback more precise. Together with the server post-back feature immediate server-side validation during user input is
The following table lists terms and corresponding descriptions or definitions for use throughout this document.
3 Use Cases

The following subsections list use cases for the different enhancements defined by this extension. Elements are defined using the namespace `urn:xmpp:xdata:dynamic` and namespace prefix `xdd`.

3.1 Publishing post-back fields

Post-back fields are fields that require the client to post the form to the server after being edited. This permits the server to perform server-side validation on the field, provide immediate user feedback and change the form according to user input.

Post-back fields are declared as normal fields in a form, except they also have the `xdd:postBack` flag present in the declaration, as is shown in the following example:

```
Listing 1: Publishing post-back fields

<x xmlns="jabber:x:data" type="form"
    xmlns:xdd="urn:xmpp:xdata:dynamic"
    xmlns:xdv="http://jabber.org/protocol/xdata-validate">
    <title>Current location</title>
    <instructions>Select your current location to continue.</instructions>
    <field var='xdd_session' type='hidden'>
        <value>009c7956-001c-43fb-8edb-76bcf74272c9</value>
    </field>
    <field var='Country_ISO_3166_1' type='list-single' label="Country:"
        desc='Select your country of residence.'>
```

Control The visual control used to display a field.

Data Form A form of parameters (a.k.a. fields), as defined in Data Forms.

Field A field representing a parameter or control, in a data form, as defined in Data Forms.

Form Client For simplicity, we will call the XMPP client that fills in the data form, the form client.

Form Server For simplicity, we will call the XMPP client that hosts a data form, the form server.

Parameter Used sometimes synonymously with field.
### 3 USE CASES

Note: A system maintaining multiple dynamic forms open at the same time needs to maintain control of available open forms. This can be done using a hidden session variable, as is shown in the example above. How this is done however, is implementation specific.

#### 3.2 Performing a server post-back

A server post-back is performed by sending an IQ set stanza with a `submit` child element containing the current state of the form. The type of the form must be `submit`. The client should also provide the current user language in a `xml:lang` attribute, if available.

**Listing 2: Performing a server post-back**

```xml
<iq type='set' from='formclient@example.org/client' to='formservr@example.org' id='1'>
  <submit xmlns='urn:xmpp:xdata:dynamic' xml:lang='en'>
    <x xmlns='jabber:x:data' type='submit'>
      <field var='xdd_session'>
        <value>009c7956-001c-43fb-8ed6b-76b7f74272c9</value>
      </field>

      <field var='Country_ISO_3166_1'>
        <value>CL</value>
      </field>
    </x>
  </submit>
</iq>
```

It is important to note that this server post-back is part of editing the form, and must not be treated by the server as a final submission of the data form.
As a response to a successful form post-back, the server returns the new data form, as is shown in the following example:

Listing 3: Post-back response

```
<iq type='result'
    from='formserver@example.org'
    to='formclient@example.org/client'
    id='1'>
  <x xmlns="jabber:x:data" type="form"
      xmlns:xdd="urn:xmpp:xdata:dynamic"
      xmlns:xdv="http://jabber.org/protocol/xdata-validate">
    <title>Current location</title>
    <instructions>Select your current location to continue.</instructions>
    <field var="xdd_session" type='hidden'>
      <value>009c7956-001c-43fb-8ed8-76bcf74272c9</value>
    </field>
    <field var="Country_ISO_3166_1" type="list-single" label="Country:'">
      <desc>Select your country of residence.</desc>
        <xdv:basic/>
      </xdv:validate>
      <value>CL</value>
      <xdd:postBack/>
      <option label="Chile">
        <value>CL</value>
      </option>
      <option label="Sweden">
        <value>SE</value>
      </option>
      <option label="United_States">
        <value>US</value>
      </option>
      ...
    </field>
    <field var="Region_ISO_3166_2" type="list-single" label="Region:'">
      <desc>Select your region of residence.</desc>
        <xdv:basic/>
      </xdv:validate>
      <value/>
      <xdd:postBack/>
      <option label="Antofagasta">
        <value>AN</value>
      </option>
  </x>
</iq>
```
In this example, the server adds a new parameter to the form, containing options that depend on the value of the first parameter.

Note: Server post-back should be made after the user is done editing a post-back field, but before actually navigating to the next field. It should not be done while the user is editing the field. For check boxes or list boxes, it is easy for the application to decide when the field has been edited, since the application can react to the controls click event. But for text edit boxes, you cannot perform a server post-back only just because the text property of the control has changed. You need to wait until the user leaves the control or something similar. However, as new fields can be added to the form, the client should wait for the response before deciding which control is the next control to go to.

### 3.3 Publishing read-only fields

Read-only fields should be laid out on the form just as a similar but editable field would, except editing should be disabled. Together with post-back fields, this option allows the form to enable/disable fields depending on user input. Read-only fields are declared as normal fields in a form, except they also have the `xdd:readOnly` flag present in the declaration, as is shown in the following example:

```
<xmlns="jabber:x:data" type="form"
    xmlns:xdd="urn:xmpp:xdata:dynamic"
    xmlns:xdv="http://jabber.org/protocol/xdata-validate">
    <title>Object properties</title>
    <field var='xdd.session' type='hidden'>
        <value>009c7956-081c-43fb-8ed8-76b7f74272c9</value>
    </field>
    <field var='ID' type='text-single' label='ID:'>
        <desc>ID of object.</desc>
        <xdv:validate xmlns:xdv="http://jabber.org/protocol/xdata-validate"
            datatype="xs:string">
            <xdv:basic/>
        </xdv:validate>
    </field>
</xmlns>
```
3 USE CASES

Note: Using this flag is different from the field type fixed defined in Data Forms. Fields of type fixed can also be used to display read-only content. However, this is done as static text, and not as a disabled control, specific for the type of content corresponding to the data in question. Using the readOnly flag instead, gives greater flexibility when it comes to presentation, as well as permitting the form server to enable and disable controls during the lifetime of the form.

Note 2: Make sure to check the implementation note Merging Client-Side Values for information on how to merge updates received from the server with current input made by the client.

3.4 Publishing fields with undefined values

There are many cases where you want to flag a control as having an undefined value or an uncertain value, instead of simply a missing value. This permits the form client to display the control in a specific way (for instance greyed), and omit the field when submitting the form back to the server, to avoid errors.

This technique can be used for instance, when editing the properties of multiple objects at the same time. Attributes that are equal among the objects can be reported as normal fields, while attributes that have different values among the objects, can be reported as having a value that is not the same everywhere. The client can then show the corresponding control greyed and omitting it in submissions of the form. If the user edits the control however, the form client can remove the flag and render the control normally. Submitting the now well-defined field value will set the corresponding attribute in all objects to the same new value. Omitting undefined values makes sure that the corresponding attributes are left as-is.

Fields with undefined or uncertain values are declared as normal fields in a form, except they also have the xdd:notSame flag present in the declaration, as is shown in the following example:

Listing 5: Publishing fields with undefined values

```xml
<x xmlns="jabber:x:data" type="form"
   xmlns:xdd="urn:xmpp:xdata:dynamic"
   xmlns:xdv="http://jabber.org/protocol/xdata-validate">
  <title>Communication properties</title>
</x>
```
In the above example communication properties of a set of objects are edited. The form contains two fields, one address field, which will contain unique values for each individual object and therefore be flagged with the xdd:notSame flag, and a second baud rate field. This second field will probably contain the same value, if devices are connected to the same bus. Therefore, the field value is not flagged with the xdd:notSame flag.

Note: If the xdd:notSame flag is available in a field, the field must not be flagged as being required. They must also be omitted in any form submissions unless they have been edited first. Furthermore, any field submitted in a post-back as described in this document, must not be returned in the post-back response having the xdd:notSame flag.

Notice also that there’s a difference between a missing value, i.e. a field without a value element defined, and a field with a value defined, but with the xdd:notSame flag present. In the latter example, the value might represent the value of one of the objects in a set of objects being edited.

### 3.5 Publishing fields containing errors

A field can be flagged with an error message using the xdd:error element. Together with the post-back feature this allows for more advanced server-side validation of field values, as is shown in the following example.

Listing 6: Publishing fields with undefined values

```xml
<x xmlns="jabber:x:data" type="form"
    xmlns:xdd="urn:xmpp:xdata:dynamic">
    ...
</x>
```
In the above example, the user can enter a script expression into a text box using a server-specific scripting language. There's no way for the client to validate the script expression. However, by flagging the field with `xdd:postBack` the client posts the form back to the server when the user is finished writing the expression, and the server can validate it. In the example, the user has made an error, and the server returns the field, with an `xdd:error` flag, containing an error message that can be displayed to the user in an appropriate way.

**Note:** If a field is flagged with an error, and the user starts editing it, the client should remove the error flag of the field. The field can be flagged again with a new error flag during the next post-back.

### 3.6 Cancelling a dynamic form

The Data Forms XEP provides a mechanism to cancel forms, by submitting the form using `type='cancel'`. The Data Forms XEP stipulates that fields should not be included when using the form type cancel. However dynamic forms, i.e. forms containing post-back fields, will need the fields, since any dynamic form session will be identified using hidden fields in the form. So, to cancel a dynamic form, the `xdd:cancel` element with the entire submitted form should be sent to the form server using an IQ set stanza, as is shown in the following example:

```xml
<iq type='set' from='formclient@example.org/client' to='formserver@example.org' id='4'>
  <cancel xmlns='urn:xmpp:xdata:dynamic'>
</iq>
```
After receiving the cancel request the form server returns an empty response if the form was found (and therefore cancelled), or an IQ error stanza with an `item-not-found` error if the form was not found. The following example shows a response where the form was found and cancelled:

Listing 8: Dynamic form cancelled

```xml
<iq type='result' from='formserver@example.org' to='formclient@example.org/client' id='4'/>
```

**Note**: If cancelling a dynamic form using the approach described in this document, there’s no need to also submit a cancel form as defined in the Data Forms XEP. The form server automatically makes sure the form is cancelled in all instances on the form server.

**Note 2**: If the dynamic form is invoked from a specific operation that includes its own cancel procedure, like Ad-hoc command sessions, the dynamic form is automatically and implicitly cancelled if the corresponding operation is cancelled. There is no need to explicitly cancel the dynamic form as explained in this section in such cases.

### 3.7 Dynamic form not found during post-back

It might happen that the form server does not find the dynamic form posted by the form client during a post back. Reasons for this can be that the form does not include a post-back field, or that a form session timeout has occurred and the form server has discarded the dynamic form to avoid memory leaks. Regardless of the reason, the form server responds using an IQ error stanza with the `item-not-found` error, when the client posts a form that is not found back.

Listing 9: Dynamic form not found during post-back

```xml
<iq type='error' from='formserver@example.org' to='formclient@example.org/client' id='2'>
  <error type='cancel'/>
</iq>
```
Note: Form post-back must only be performed on forms containing post-back fields. The form server is not required to maintain dynamic form sessions for forms that lack post-back fields.

3.8 Other error during post-back

If another error occurs during post-back, the form server can inform the client about this by using the relevant error element and provide further information in a text element to describe the error, as is shown in the following example:

```
<iq type='error' from='formserver@example.org' to='formclient@example.org/client' id='3'>
  <error type='cancel'>
    <internal-server-error xmlns='urn:ietf:params:xml:ns:xmpp-streams'/>
    <text>An internal error occurred: Stack limit has been reached.</text>
  </error>
</iq>
```

3.9 Asynchronous updates of forms (server push)

The server may send asynchronous updates to open forms on the client. This can be done if the server detects changes that it wants to inform the client about. Changes are made by sending a message stanza including a updated element which in turn contains the new updated form.

Examples can include a control form showing control parameters. While the server is trying to retrieve the current values it presents the control form with undefined values, and later when values are received by the server, it sends an update to the client with actual values.

Another example can include a dialog containing information on items on the server in a multi-user environment (for instance a file system). Changes made by users can be displayed in open dialogs to other users as they change.

The client may have more than one form open at any given time. It might also be so that the form has been closed prior to receiving or handling the update message, and is therefore no longer visible. To be able to identify to which form the update corresponds, the updated element is required to include a sessionVariable attribute in which it identifies a unique
identifying field in the form. When the client receives the update, it goes through all forms it has open. If a form has a field variable with a corresponding name, and the field variable has a value equal to the value in the updated form, the form should be updated by the contents of the message. If no form is found, the update is simply ignored. If multiple forms are found, all should be updated.

Listing 11: Asynchronous update of form (server push)

```
<x xmlns="jabber:x:data" type="form"
  xmlns:xdd="urn:xmpp:xdata:dynamic"
  xmlns:xdv="http://jabber.org/protocol/xdata-validate">
  <title>Control parameters</title>
  <field var='xdd.session' type='hidden'>
    <value>009c7956-001c-43fb-8ed8-bc74272c9</value>
  </field>
  <field var="AnalogOutput" type="text-single" label="Analogue Output:">
    <desc>Enter a new value for the analog output.</desc>
      <xdv:range min="0" max="65535"/>
    </xdv:validate>
    <value>49152</value>
  </field>
</x>

...<message from='server@example.org' to='client@example.org/client'>
  <updated xmlns='urn:xmpp:xdata:dynamic' sessionVariable='xdd.session' xml:lang='en'>
    <x xmlns="jabber:x:data" type="form"
      xmlns:xdd="urn:xmpp:xdata:dynamic"
      xmlns:xdv="http://jabber.org/protocol/xdata-validate">
      <title>Control parameters</title>
      <field var='xdd.session' type='hidden'>
        <value>009c7956-001c-43fb-8ed8-bc74272c9</value>
      </field>
    </x>
    <field var="AnalogOutput" type="text-single" label="Analogue Output:">
      <desc>Enter a new value for the analog output.</desc>
        <xdv:range min="0" max="65535"/>
      </xdv:validate>
      <value>49152</value>
    </field>
  </updated>
</message>
```
4 Determining Support

If an entity supports the protocol specified herein, regardless if the entity represents a form server or a form client; it MUST advertise that fact by returning a feature of "urn:xmpp:xdata:dynamic" in response to Service Discovery (XEP-0030)\(^8\) information requests.

```
<iq type='get'>
  <query xmlns='http://jabber.org/protocol/disco#info'/>
</iq>
```

In order for an application to determine whether an entity supports this protocol, where possible it SHOULD use the dynamic, presence-based profile of service discovery defined in Entity Capabilities (XEP-0115)\(^9\). However, if an application has not received entity capabilities information from an entity, it SHOULD use explicit service discovery instead.

5 Implementation Notes

5.1 Dynamic Form Sessions

For a form server to maintain the status of the dynamic form, it will probably need to publish state or session information using hidden fields in the dynamic form. It’s important that form clients be aware that such hidden fields are available and must always return them in all submissions of the form to the server.

A form client that supports dynamic forms and opens a dynamic form, i.e. containing parameters requiring post-back, must call the specific cancel method described in this document to cancel the form if not submitted. This to let the form server to release any dynamic form session resources it maintains.

Note: When submitting a dynamic form the normal way, any dynamic form session resources are also automatically released.

5.2 Session Timeouts

The form server must be aware that some form clients do not support dynamic forms. This in turn implies that form clients may not call the correct cancel method to cancel a dynamic form. To protect the form server from memory leaks, it must include a session timeout, and release any dynamic form session resources if no activity has been made during the corresponding time period. If the client would perform a post-back after the timeout period, an item-not-found error message must be returned, to show the corresponding dynamic form session no longer exists, and therefore could not be found.

For normal operations, a dynamic form session timeout of 15 minutes is sufficient.

5.3 Merging Client-Side Values

When receiving asynchronous form updates from the server, or when performing a server post-back of a form, it is important to know how to merge responses from the server with the current form being displayed to the user. As the operation is asynchronous, and since user input is quick, the user might have input things not known to the server and therefore not available in form updates. Also, fields not marked for post-back might not have been reported at all to the server, and therefore, the client is the only one that knows what the user has entered into these fields.

So, when receiving form updates, either asynchronously, or as part of a server post-back response, the client needs to merge the updated form, with the current form. The following rules must be applied. Here, the updated form represents the form in the recent message from the server, the current form represents the form currently being edited by the user and the resulting form represents the result of the merger of the updated form and the current form.
• New fields in the updated form not available in the current form, are added as-is to the resulting form.

• Fields not available in the updated form but available in the current form, must be removed from the resulting form regardless if user input is available. Any such user input is lost.

• The order of fields in the resulting form must be the same as the order of fields in the updated form.

• Fields available in both the updated form and the current form are handled depending on if the user has entered values in the corresponding field in the current form or not:
  – If the user has not edited the value in the corresponding field, the value from the updated form is used.
  – If the user has edited the value the corresponding field, the value from the current form is used.
  – If the user has edited the value of the corresponding field in the current form, but the value is the same as the value available in the updated form, the flag stating that user input has occurred in the field can be cleared.

• If a field in the updated form is flagged as undefined, but the current form has an edited value, the form in the resulting form must not be marked as undefined.

• All other properties for fields must be taken from the updated form and copied to the resulting form.

How the above merger is made is implementation specific. One simple implementation can simply be taking the updated form, adding any client-side values to it (i.e. values edited in the current form) perhaps removing any undefined value flags, and then use the result as a model for the resulting form.

6 Security Considerations

There are no security concerns related to this specification above, beyond those described in the relevant section of XMPP Core.
7 IANA Considerations

This document requires no interaction with the Internet Assigned Numbers Authority (IANA).

8 XMPP Registrar Considerations

The protocol schema needs to be added to the list of XMPP protocol schemas.

9 XML Schema

```xml
<?xml version='1.0' encoding='UTF-8'?>
<xs:schema
    xmlns:xs='http://www.w3.org/2001/XMLSchema'
    targetNamespace='urn:xmpp:xdata:dynamic'
    xmlns='urn:xmpp:xdata:dynamic'
    xmlns:xd="jabber:x:data"
    elementFormDefault='qualified'>
    <xs:import namespace='jabber:x:data'/>
    <xs:element name='postBack'>
        <xs:annotation>
            <xs:documentation>Flags a field as requiring server post-back after having been edited.</xs:documentation>
        </xs:annotation>
        <xs:complexType/>
    </xs:element>
    <xs:element name='readOnly'>
        <xs:annotation>
            <xs:documentation>Flags a field as being read-only.</xs:documentation>
        </xs:annotation>
        <xs:complexType/>
    </xs:element>
    <xs:element name='notSame'>
        <xs:annotation>
            <xs:documentation>Flags a field as having an undefined or uncertain value.</xs:documentation>
        </xs:annotation>
        <xs:complexType/>
    </xs:element>
</xs:schema>
```

9 The Internet Assigned Numbers Authority (IANA) is the central coordinator for the assignment of unique parameter values for Internet protocols, such as port numbers and URI schemes. For further information, see <http://www.iana.org/>.
10 Acknowledgements

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